DEFINITIONS.

[For text of subparts 1 to 31a, see Minnesota Rules]

Subp. 31b. Horseracing Integrity and Safety Act. "Horseracing Integrity and Safety Act" or "act" means Public Law 116-260, title XII, which created the Horseracing Integrity and Safety Authority.

Subp. 31c. Horseracing Integrity and Safety Authority or HISA. "Horseracing Integrity and Safety Authority" or "HISA" is an organization authorized by the Horseracing Integrity and Safety Act that is responsible for drafting and enforcing uniform safety and integrity rules in Thoroughbred racing in the United States.

Subp. 31d. HISA-covered horse. "HISA-covered horse" means any Thoroughbred horse or any other horse made subject to the act by election of the applicable state racing commission or the breed-governing organization for a horse under section 3054(l) of the act during the period:

A. beginning on the date of the horse's first timed and reported workout at a racetrack that participates in HISA-covered horse races or at a licensed training facility; and

B. ending on the date on which the horse is deemed retired pursuant to section 3050(b) of the act.

Subp. 31e. HISA-covered horse race. "HISA-covered horse race" means any horse race involving HISA-covered horses that has a substantial relation to interstate commerce, including any Thoroughbred horse race that is the subject of interstate off-track or advance deposit wagers.

Subp. 31f. Horseracing Integrity and Welfare Unit. "Horseracing Integrity and Welfare Unit" is a division of HISA established to provide independent antidoping and medication control.
Subp. 51a. **Racing day.** "Racing day" means a day assigned by the commission on which racing is conducted and shall include no fewer than eight live races. If environmental conditions, a power or technology failure, or a shortage of horses forces the cancellation of races to the extent that fewer than eight races can be held in a single day, the day is still considered a racing day as long as the canceled races are added to one or more subsequent racing cards held within 30 days of the cancellation. For purposes of this subpart, "shortage of horses" means that one or more proposed races for the day were unable to generate at least a five-horse field and therefore could not be carded. No more than 14 live races shall be conducted in a single day unless approved by the stewards and the executive director.

Subp. 56a. **Ship in.** "Ship in" means a horse that is not stabled on the grounds of the racetrack at which it is entered to race.

**7876.0100 ON-TRACK STABLING.**

Subp. 10. **Original certificate of veterinary inspection.** Each horse arriving on the grounds of a licensed racetrack must be accompanied by an original certificate of veterinary inspection. The certificate must include the date of inspection and date of issuance, both of which must be dated no more than five days prior to arrival. The certificate of veterinary inspection must be completed by an accredited veterinarian and must contain complete equine infectious anemia (EIA) test results, including the date, laboratory, and accession number of the most recent negative EIA test results. The certificate must also contain rectal temperature and product name and date of most recent vaccination with a Food and Drug Administration-approved modified live or killed vaccine specific for EHV-1 and influenza.
The certificate of veterinary inspection must be submitted electronically to the commission veterinarian at least 24 hours prior to the horse's arrival at the racetrack. For HISA-covered horses, the certificate of inspection is valid for five days. For all other horses, the certificate of veterinary inspection remains valid for 30 days from the date of issue, with the date of issue counted as day one. Horses leaving the facility and returning during this 30-day period do not have to be accompanied by a new certificate of veterinary inspection. Horses leaving and returning after the 30-day period must be accompanied by a new original certificate of veterinary inspection. The certificate must include the date of inspection and date of issuance, both of which must be dated no more than five days prior to arrival.

[For text of subparts 10a to 12, see Minnesota Rules]

7876.0110 OFF-TRACK STABLING.

[For text of subparts 1 to 3, see Minnesota Rules]

Subp. 4. **Original certificate of veterinary inspection.** Any horse arriving on the grounds of a licensed racetrack must be accompanied by an original certificate of veterinary inspection. The certificate must include the date of inspection and date of issuance, both of which must be dated no more than five days prior to arrival. The certificate of veterinary inspection must be completed by an accredited veterinarian and must contain complete equine infectious anemia (EIA) test results, including the date, laboratory, and accession number of the most recent negative EIA test. The certificate must also contain rectal temperature and product name and date of most recent vaccination with a Food and Drug Administration-approved modified live or killed vaccine specific for EHV-1 and influenza. The certificate of veterinary inspection must be submitted electronically to the commission veterinarian at least 24 hours prior to the horse's arrival at the racetrack. For HISA-covered horses, the certificate of inspection is valid for five days. For all other horses, the certificate of veterinary inspection remains valid for 30 days from the date of issue, with the date of issue counted as day one. Horses leaving the facility and returning during this 30-day period
do not have to be accompanied by a new certificate of veterinary inspection. Horses leaving
and returning after the 30-day period must be accompanied by a new original certificate of
veterinary inspection. The certificate must include the date of inspection and date of issuance,
both of which must be dated no more than five days prior to arrival.

[For text of subparts 4a to 6, see Minnesota Rules]

7876.0120 ON- AND OFF-TRACK STABLING OF NONRACING HORSES.

Subpart 1. Certificate of veterinary inspection. Any horse arriving on the grounds
of a licensed racetrack must be accompanied by an original certificate of veterinary
inspection. The certificate must include the date of inspection and date of issuance, both of
which must be dated no more than five days prior to the arrival. The certificate of veterinary
inspection must be completed by an accredited veterinarian and must contain complete
equine infectious anemia (EIA) test results, including the date, laboratory, and accession
number of the most recent negative EIA test. The certificate must also contain rectal
temperature and product name and date of most recent vaccination with a Food and Drug
Administration-approved modified live or killed vaccine specific for EHV-1 and influenza.
The certificate of veterinary inspection must be submitted electronically to the commission
veterinarian at least 24 hours prior to the horse's arrival at the racetrack. For HISA-covered
horses, the certificate of inspection is valid for five days. For all other horses, the certificate
of veterinary inspection remains valid for 30 days from the date of issue, with the date of
issue counted as day one. Horses leaving the facility and returning during this 30-day period
do not have to be accompanied by a new certificate of veterinary inspection. Horses leaving
and returning after the 30-day period must be accompanied by a new original certificate of
veterinary inspection. The certificate must include the date of inspection and date of issuance,
both of which must be dated no more than five days prior to arrival. The horse must also
have a negative equine piroplasmosis test as prescribed in part 7876.0100, subpart 11, or
7876.0110, subpart 5.
5.2 7877.0170 DUTIES AND RESPONSIBILITIES OF CLASS C LICENSEES.

Subp. 2. Trainers. Trainers shall have the following responsibilities.

P. Except as provided in part 7876.0130, a trainer must ensure that at the time of arrival at a licensed racetrack, each horse in the trainer's care is accompanied by an original certificate of veterinary inspection. The certificate must include the date of inspection and date of issuance, both of which must be dated no more than five days prior to arrival. The certificate must be completed by an accredited veterinarian and must contain complete equine infectious anemia (EIA) test results, including the date, laboratory, and accession number of the most recent negative EIA test. The certificate must also contain rectal temperature and product name and date of most recent vaccination with a Food and Drug Administration-approved modified live or killed vaccine specific for EHV-1 and influenza. A copy of the certificate must be provided electronically to a commission veterinarian at least 24 hours prior to the horse's arrival at the racetrack. The horse must also have a negative equine piroplasmosis test as prescribed in part 7876.0100, subpart 11, or 7876.0110, subpart 5.

X. A Standardbred trainer listed as the specified trainer for a horse must have the horse stabled on racetrack grounds under the trainer's custody, care, and control. The listed Standardbred trainer is responsible for entering the horse in a race. Stabling of any horse off the racetrack grounds must be approved in advance by the stewards and that horse must be listed as "ship in."
Subp. 9. **Veterinarians.** The following shall apply to veterinarians licensed by the commission:

[For text of items A to K, see Minnesota Rules]

L. The commission shall report any rulings or violations involving a veterinarian to the Minnesota Board of Veterinary Medicine and initiate a complaint with the Minnesota Board of Veterinary Medicine against a veterinarian determined to have acquired or administered a Class 1 substance contained in the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances and Recommended Penalty Rule or the Horseracing Integrity Welfare Unit list of prohibited substances.

[For text of items M and N, see Minnesota Rules]

[For text of subparts 9a to 9c, see Minnesota Rules]

Subp. 10. **Pony riders.** The following applies to pony riders licensed by the commission:

[For text of items A and B, see Minnesota Rules]

C. Anyone bringing any pony horse onto the grounds of the association must provide to stable gate personnel the accurate name of the animal. Each pony horse must be accompanied by an original certificate of veterinary inspection completed by an accredited veterinarian. The certificate must include the date of inspection and date of issuance, both of which must be dated no more than five days prior to arrival. It must contain complete equine infectious anemia test results, including the date, laboratory, and accession number of the most recent negative EIA test. The certificate must also contain rectal temperature and product name and date of the most recent vaccination with a Food and Drug Administration-approved modified live or killed vaccine specific for EHV-1 and influenza. The horse must also have a negative equine piroplasmosis test as prescribed in part
7.1 7876.0100, subpart 11, or 7876.0110, subpart 5. The certificate of veterinary inspection
must be submitted electronically to the commission veterinarian at least 24 hours prior to
the horse's arrival at the racetrack. The certificate of veterinary inspection for horses leaving
and returning on an ongoing basis throughout the meet must be renewed within the first
five days of each month.

[For text of items D and E, see Minnesota Rules]

[For text of subpart 11, see Minnesota Rules]

7.8 7877.0175 DUTIES AND RESPONSIBILITIES OF RACING OFFICIALS.

[For text of subparts 1 to 8a, see Minnesota Rules]

Subp. 8b. Veterinarian's list.

A. A commission veterinarian shall maintain a list of horses that:

[For text of subitems (1) to (4), see Minnesota Rules]

(5) have received a medication or treatment invoking a mandatory stand-down
time;

(6) are barred from racing for the life of the horse;

(7) have been treated with shock wave therapy, and

(8) are otherwise considered unfit to race in the professional judgment of a
commission veterinarian.

[For text of items B to E, see Minnesota Rules]

[For text of subparts 8c to 8e, see Minnesota Rules]

Subp. 8f. [Repealed, 47 SR 990]
Subp. 8g. **Pregnant mares.** A commission veterinarian must post in a location accessible to all trainers a list of all mares registered as pregnant or "in foal."

**[For text of subparts 8h to 15, see Minnesota Rules]**

**7879.020**  **AUTHORITY AND DUTIES OF STEWARDS.**

**[For text of subpart 1, see Minnesota Rules]**

Subp. 2. **Specific duties and responsibilities of stewards.** In addition to the duties and responsibilities necessary and pertinent to general supervision, control, and regulation of race meetings, and without limiting the authority of the stewards to perform those and all other duties listed in this part, the stewards shall have the following specific duties and responsibilities:

**[For text of items A to L, see Minnesota Rules]**

M. To maintain daily reports of actions taken and observations made during the conduct of each day's racing program. The report must contain the name of the track, the date, weather and track conditions, claims, inquiries and objections, and any unusual circumstances or conditions. The reports must be signed by all three stewards and filed with the commission within 72 hours.

**[For text of items N to P, see Minnesota Rules]**

**[For text of subpart 3, see Minnesota Rules]**

**7883.010**  **ENTRIES AND SUBSCRIPTIONS.**

**[For text of subparts 1 and 2, see Minnesota Rules]**

Subp. 2a. **Prohibited starters.** No horse shall be permitted to start if:

**[For text of item A, see Minnesota Rules]**
B. it is on the stewards' list, or veterinarian's list in any racing jurisdiction and not removed from that list;

C. it is on the starter's list or paddock judge's list in any racing jurisdiction and not removed from that list by the other jurisdiction or racing official in Minnesota; or

D. it has raced in a qualifying trial for a future race and has received a positive test for any medication. In that instance, the next fastest qualifying horse shall be allowed to enter.

[For text of subparts 3 to 5, see Minnesota Rules]

Subp. 6. Prohibited entries. No person shall:

E. enter a horse that is 12 years of age or older;

[For text of items F to J, see Minnesota Rules]

K. enter a horse that, as of January 1 of the calendar year, is a six-year-old or older maiden or a horse that has not started in three or more years.

[For text of subparts 7 to 19, see Minnesota Rules]

7883.0120 SCRATCHES AND NONSTARTERS.

[For text of subparts 1 to 1b, see Minnesota Rules]

Subp. 1c. Drawing horses into a race from also-eligible list.

B. For a race with turns, the horse drawing into the race from the also-eligible list must start in the outermost post position.

[For text of subparts 1d to 7, see Minnesota Rules]
CLAIMING RACES.

[For text of subparts 1 to 21, see Minnesota Rules]

Subp. 22. Claimed horse shall race at track claimed.

A. No claimed horse shall race at any other racetrack unless:

(1) the last scheduled overnight race for that breed at the meet has been drawn;

or

(2) 60 days have passed since the day the horse was claimed.

[For text of item B, see Minnesota Rules]

[For text of subparts 23 to 32, see Minnesota Rules]

ELIGIBILITY AND ENTERING.

[For text of subparts 1 to 12, see Minnesota Rules]

Subp. 13. Horses denied entry.

A. A horse that is on the qualifying list, starter's schooling list, paddock judge's list, or stewards' list in any racing jurisdiction and not removed from that list shall not be entered. A horse on the veterinarian's list with a specified removal date may enter but not race until the removal date has passed. Horses without a specified removal date may not be entered until removed from the list by the commission veterinarian.

B. A horse that has been treated with any bisphosphonate drug within the past six months shall not be entered.

C. Any horse that is barred, suspended, or ineligible for racing in another racing jurisdiction may not be entered.

[For text of subparts 14 to 17, see Minnesota Rules]
Declaring hobbles. If a horse is qualified with or without hobbles, the trainer has the option of racing the horse with or without hobbles. The trainer shall designate whether the horse will race with or without hobbles at the time of entry. Changing the designation after the horse is programmed to race constitutes an equipment change and must be approved by the stewards and announced to the public.

SCRATCHES.

Stewards to approve scratches. A horse entered to race shall not be scratched from a race without permission of the stewards. When a request has been made to scratch a horse on the grounds for a veterinary reason, the horse must be examined by a commission veterinarian and the stewards must be notified prior to the scratch becoming official.

Scratched as unsound. Any horse that is scratched by the stewards as unsound during warmup for a race in which the horse is entered must compete in a qualifying race prior to reentry. Horses scratched at other times, dependent upon placement on the official veterinarian's list, may need to qualify or have an official timed workout prior to reentry.

QUALIFYING RACES.

Horses required to compete in qualifying races for race meets longer than two weeks. If the meet extends longer than two weeks, the following horses shall not be eligible to enter any race until they have competed in qualifying races:
C. A horse that has not started for a period of 45 days or more. On day 45, the horse is ineligible.

[For text of items D to F, see Minnesota Rules]

Subp. 2a. Horses required to compete in qualifying races regardless of duration of meet. The following horses shall not be eligible to enter any race until they have competed in qualifying races:

[For text of items A and B, see Minnesota Rules]

C. A horse that makes a break on its first start after a qualifying race on a track rated fast or good must qualify regardless of its finishing position. Any horse making a break in two consecutive races on tracks rated as fast or good shall be required to qualify regardless of finishing position.

[For text of item D, see Minnesota Rules]

[For text of subparts 3 to 7, see Minnesota Rules]

Subp. 8. Medications. A horse participating in a qualifying race for any reason other than removal from the veterinarian's list may be randomly selected for testing under chapter 7892. Tests results do not need to be returned prior to entry. A positive test result reported after the horse has qualified, raced, and earned money shall result in disqualification, loss of purse, and trainer sanctions. A horse participating in a qualifying race for removal from the veterinarian's list must have testing performed and the results must be returned prior to removal from the veterinarian's list.

7884.0260 DRIVING RULES.

[For text of subpart 1, see Minnesota Rules]

Subp. 2. Conduct after word "go" is given. After the word "go" is given, no driver shall:
T. use a whip exceeding 36 inches in length, including a built-in popper no longer than three inches in length, or use unreasonable or unnecessary force in the whipping of a horse, nor whip any horse causing visible injury, nor whip any horse about the head including but not limited to trailing horses, nor whip any horse after the finish line has been crossed except when it has been deemed by the board of judges necessary to control the horse. The driver is permitted to encourage the horse with the whip only one time from the start to the 3/4 mile marker, and no more than four times, if the horse is advancing, from the 3/4 mile marker to the finish line. The board of judges must notify a commission veterinarian to conduct any postrace examination on any horse deemed to have been subject to unreasonable or unnecessary force. The following actions shall be considered indiscriminate, unreasonable, or unnecessary uses of the whip:

For text of subitems (1) to (5), see Minnesota Rules

For text of items U to X, see Minnesota Rules

For text of subparts 3 to 12, see Minnesota Rules

7890.0100 DEFINITIONS.

For text of subparts 1 to 20, see Minnesota Rules

Subp. 21. Veterinarian's list. "Veternarian's list" means the tabulation of horses required to be maintained by part 7877.0175, subpart 8b.

7890.0110 MEDICATIONS AND PRACTICES PROHIBITED.

For text of subparts 1 to 4, see Minnesota Rules

Subp. 5. Discontinuance of treatments. The use of all NSAIDs shall be discontinued at least 48 hours before the post time for the race in which the horse is entered.

For text of subparts 6 to 13, see Minnesota Rules
14.1 **7890.0140 BLEEDERS.**

Subpart 1. **Examination of bleeders.** A horse that is alleged to have bled externally from one or both nostrils in Minnesota must be physically examined by a commission veterinarian to confirm its inclusion on the veterinarian's list. The examination must be performed within 1-1/2 hours following the finish of a race or exercise in which the horse has participated.

Subp. 2. [Repealed, 47 SR 990]

Subp. 3. **Bleeders imported from other jurisdictions.** A horse shipped into Minnesota from another jurisdiction shall be allowed to race on furosemide provided it raced on furosemide in its last start, and documentation to that effect is submitted to and accepted by the commission veterinarian at the Minnesota racetrack to which it is shipped.

Subp. 4. **Horses placed on the veterinarian's list.** Bleeders shall be placed on the veterinarian's list and shall be ineligible to be entered in a race pursuant to subpart 5.

Subp. 5. **Restrictions on bleeders.** Bleeders shall be subject to the following restrictions.

A. For the first observed bleeding, the horse shall be placed on the veterinarian's list and shall not be removed from the veterinarian's list without the approval of the commission veterinarian. Such a horse shall be ineligible to race for at least 14 days following the observed bleeding.

[For text of items B to G, see Minnesota Rules]

[For text of subparts 6 to 10, see Minnesota Rules]

14.22 **7892.0110 OUT-OF-COMPETITION TESTING.**

[For text of subparts 1 and 2, see Minnesota Rules]
Subp. 2a. **Taking of samples.** Out-of-competition testing for prohibited substances, blood doping agents, gene doping agents, growth hormone, exogenous anabolic steroids, and clenbuterol may be performed at the request and under the direction of the stewards or the commission veterinarian on any horse present on the grounds of a racetrack licensed by the commission or nominated for stakes or specific racing series. Horses to be tested may be selected at random or as otherwise reasonably determined by the stewards or commission veterinarian. Split samples shall be collected in accordance with subpart 5. A positive test shall require the horse to be placed on the commission veterinarian's list under part 7877.0175, subpart 8b.

*For text of subparts 3 to 5, see Minnesota Rules*

**7892.0120** TAKING OF SAMPLES.

Subpart 1. **Horses tested.**

*For text of item A, see Minnesota Rules*

B. Test samples may be taken from other horses on the grounds of a licensed racetrack as designated by the stewards or the commission veterinarian at any time. Horses to be tested may be selected at random or as otherwise reasonably determined by the stewards or commission veterinarian. A positive test shall result in the horse being placed on the commission veterinarian's list under part 7877.0175, subpart 8b.

C. The stewards or commission veterinarian may require that specimens of hair, saliva, or other body fluid or excretion may be taken from a tested horse as deemed necessary by the stewards or the commission veterinarian to determine whether a violation of chapter 7890 has occurred.

D. A hair sample collected any time after a horse is entered to race at a licensed racetrack shall be deemed a post-race sample for purposes of parts 7869.0200 and 7890.0110.
E. Any owner, trainer, or other person having care, custody, or control of a horse required to be tested must submit the horse immediately.

[For text of subparts 2 to 6, see Minnesota Rules]

7895.0100 GENERAL PROVISIONS.

[For text of subparts 1 to 8, see Minnesota Rules]

Subp. 9. Onsite visit. The presence of broodmares and stallions participating in the Breeder's Fund program is verified each spring by an employee of the commission. Owners, breeders, and facility managers must cooperate and comply with this rule.

Subp. 10. Identification of broodmare and stallion. Identification is made by each horse's specific physical characteristics and lip tattoo, freeze brand, or microchip. A microchip is required for broodmares and stallions when identification cannot be made using the physical characteristics or when the lip tattoo or freeze brand is illegible.

7895.0125 THOROUGHBRED REGISTRATION.

Subpart 1. Broodmare registration. To be eligible to receive any breeders' award payments and to make a mare's foal eligible for restricted races, the following requirements must be met:

A. Prior to foaling, a broodmare must be in Minnesota and registered with the Racing Commission on or before March 15 of the year in which the broodmare will foal and must remain in Minnesota until foaling. A copy of the broodmare's Jockey Club physical or digital certificate and the registration application must be submitted electronically to the commission by March 15 of the current breeding year.

[For text of items B to E, see Minnesota Rules]

Subp. 2. Stallion registration. To be eligible to receive any stallion award payments, the following requirements must be met:
A. Stallions must be in Minnesota and registered with the Racing Commission by January 31 of the current breeding year. A copy of the stallion's Jockey Club physical or digital certificate and registration application must be submitted electronically to the commission by January 31 of the current breeding year. If the stallion is leased, a copy of the lease must accompany the registration application. The lease must include a statement that the lessee is authorized to sign the breeding certificate.

[For text of items B to E, see Minnesota Rules]

[For text of subparts 3 to 6, see Minnesota Rules]

7895.0350 QUARTER HORSE REGISTRATION.

Subpart 1. Broodmare registration. To be eligible to receive any breeders' award payments and to make a mare's foal eligible for restricted races, the following requirements must be met:

A. Prior to foaling, a broodmare must be in Minnesota and registered with the Racing Commission on or before March 15 of the year in which the broodmare will foal and must remain in Minnesota until foaling. A copy of the broodmare's original American Quarter Horse Association (AQHA) certificate or digital certificate (or Jockey Club certificate in the case of a Thoroughbred mare in foal to a Quarter Horse stallion) and registration application must be submitted electronically to the commission by March 15 of the current breeding year. In the event the broodmare is a recipient mare, the broodmare must be registered via a form provided by the commission.

[For text of item B, see Minnesota Rules]

[For text of subparts 1a to 1c, see Minnesota Rules]

Subp. 2. Stallion registration. To be eligible to receive any stallion award payments, the following requirements must be met:
A. Stallions must be in Minnesota and registered with the Racing Commission by January 31 of the current breeding year. A copy of the stallion's original American Quarter Horse Association (AQHA) certificate or digital equivalent must be submitted electronically to the commission. If the stallion is leased, a copy of the lease must accompany the registration application. The lease must include a statement that the lessee is authorized to sign the breeding certificate.

[For text of items B to E, see Minnesota Rules]

[For text of subparts 3 to 6, see Minnesota Rules]

7897.0100 PROHIBITED ACTS.

[For text of subparts 1 to 10, see Minnesota Rules]

Subp. 11. Nerved horses. No person shall bring onto the grounds of a racetrack for the purpose of racing or selling any horse which has had a nerve removed from its leg.

[For text of subparts 12 to 20, see Minnesota Rules]

Subp. 21. Pregnant mare. No mare over 120 days gestation may be entered to race.

[For text of subparts 22 to 24, see Minnesota Rules]

RENUMBERING INSTRUCTION. Minnesota Rules, part 7869.0100, subpart 32, is renumbered as Minnesota Rules, part 7869.0100, subpart 31a.

REPEALER. Minnesota Rules, parts 7877.0175, subpart 8f; and 7890.0140, subpart 2, are repealed.